

<u>REACTIONS AND</u> SPECTROSCOPY OF ALDEHYDES AND KETONES

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Carbonyl Compounds

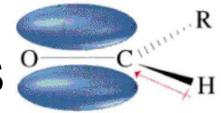
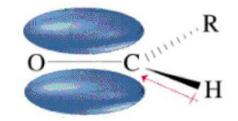


TABLE 18-1 Some Common Classes of Carbonyl Compounds

Class	General Formula	Class	General Formula
ketones	$\mathbf{R} - \mathbf{C} - \mathbf{R}'$	aldehydes	R−C−H
carboxylic acids	R—C—OH	acid chlorides	R - C
esters	$\mathbf{R} - \mathbf{C} - \mathbf{O} - \mathbf{R}'$	amides	$R - C - NH_2$

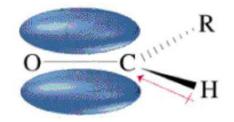
Carbonyl Structure



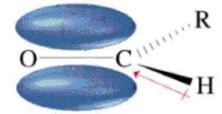
- Carbon is *sp*² hybridized.
- C=O bond is shorter, stronger, and more polar than C=C bond in alkenes.

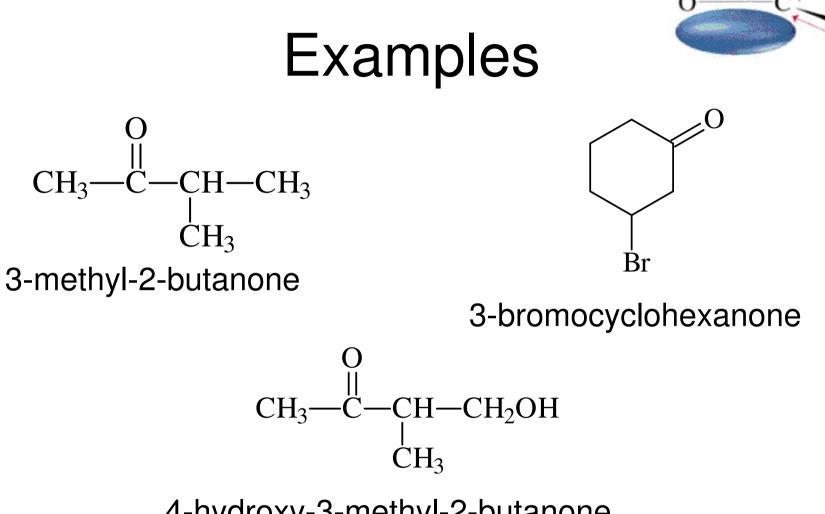
		length	energy
R	ketone $C = 0$ bond	1.23 Å	178 kcal/mol (745 kJ/mol)
120°T "C O : R 120°	alkene C=C bond	1.34 Å	146 kcal/mol (611 kJ/mol)

IUPAC Names for Ketones

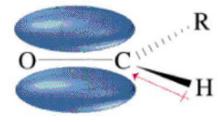


- Replace -*e* with -*one*. Indicate the position of the carbonyl with a number.
- Number the chain so that carbonyl carbon has the lowest number.
- For cyclic ketones the carbonyl carbon is assigned the number 1.



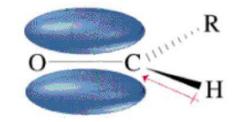


4-hydroxy-3-methyl-2-butanone



Naming Aldehydes

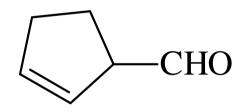
- IUPAC: Replace e with al.
- The aldehyde carbon is number 1.
- If -CHO is attached to a ring, use the suffix -*carbaldehyde*.



Examples

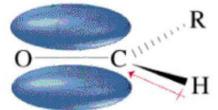
CH₃ _____CH₂—CH₂—CH₂— О || -С—Н

3-methylpentanal



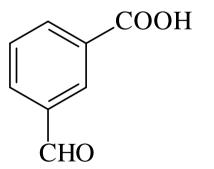
2-cyclopentenecarbaldehyde

Name as Substituent



- On a molecule with a higher priority functional group, C=O is oxo- and -CHO is formyl.
- Aldehyde priority is higher than ketone.

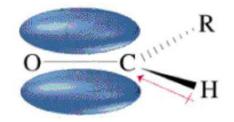
$$\begin{array}{ccc} O & CH_3 & O \\ \parallel & \parallel \\ CH_3 - C - CH - CH_2 - C - H \end{array}$$



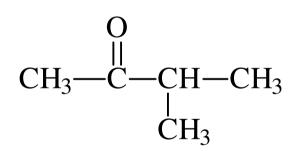
3-methyl-4-oxopentanal

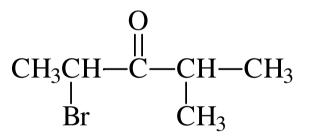
3-formylbenzoic acid

Common Names for Ketones



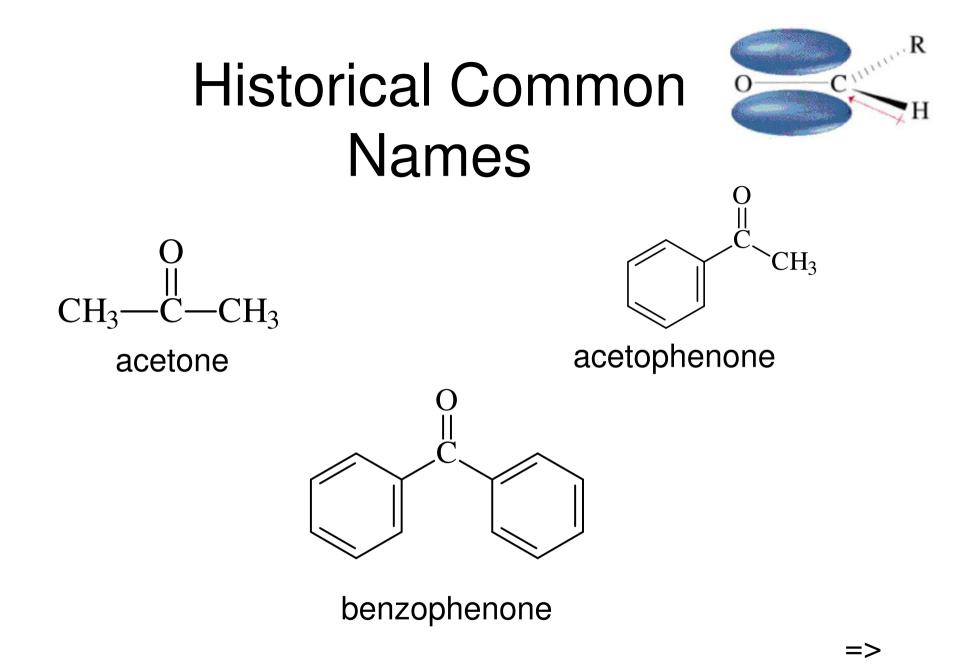
- Named as alkyl attachments to -C=O.
- Use Greek letters instead of numbers.



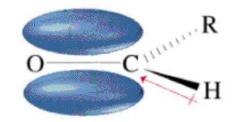


methyl isopropyl ketone

 α -bromoethyl isopropyl ketone

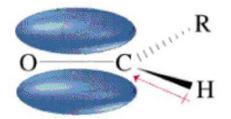


Aldehyde Common Names

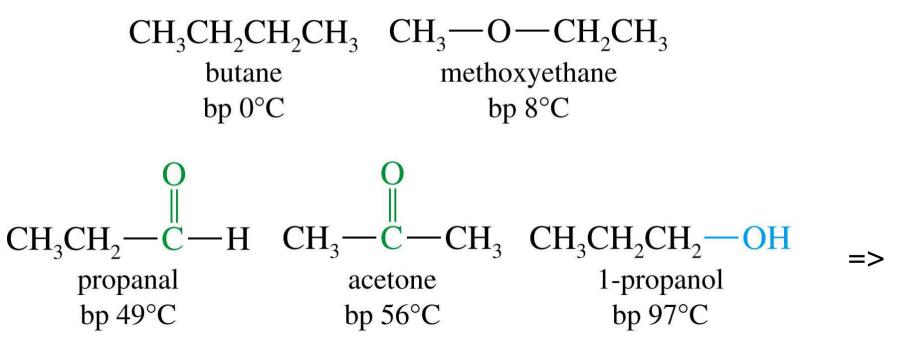


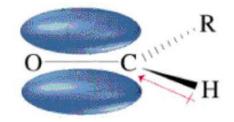
- Use the common name of the acid.
- Drop -*ic acid* and add -*aldehyde*.
 >1 C: formic acid, formaldehyde
 >2 C's: acetic acid, acetaldehyde
 >3 C's: propionic acid, propionaldehyde
 >4 C's: butyric acid, butyraldehyde.

Boiling Points



- More polar, so higher boiling point than comparable alkane or ether.
- Cannot H-bond to each other, so lower boiling point than comparable alcohol.

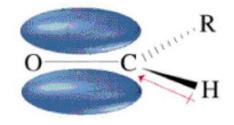




Solubility

- Good solvent for alcohols.
- Lone pair of electrons on oxygen of carbonyl can accept a hydrogen bond from O-H or N-H.
- Acetone and acetaldehyde are miscible in water.

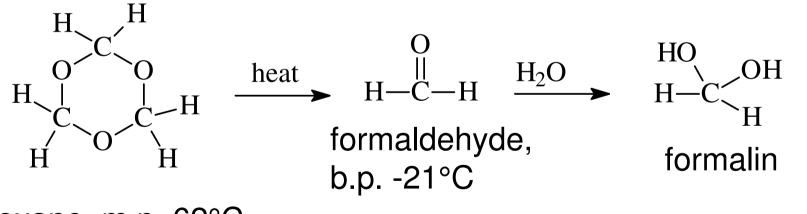




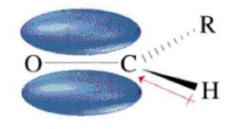
=>

Formaldehyde

- Gas at room temperature.
- Formalin is a 40% aqueous solution.

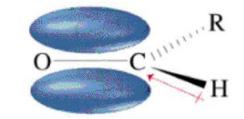


trioxane, m.p. 62°C

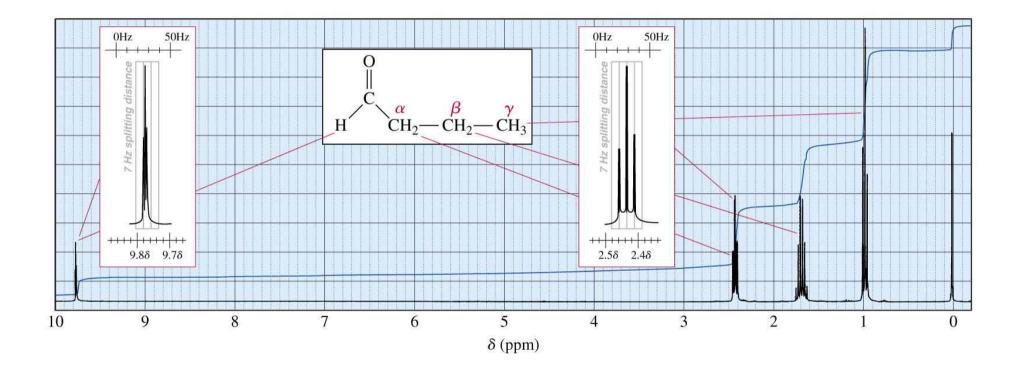


IR Spectroscopy

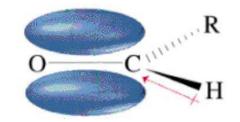
- Very strong C=O stretch around 1710 cm⁻¹.
- Conjugation lowers frequency.
- Ring strain raises frequency.
- Additional C-H stretch for aldehyde: two absorptions at 2710 cm⁻¹ and 2810 cm⁻¹.

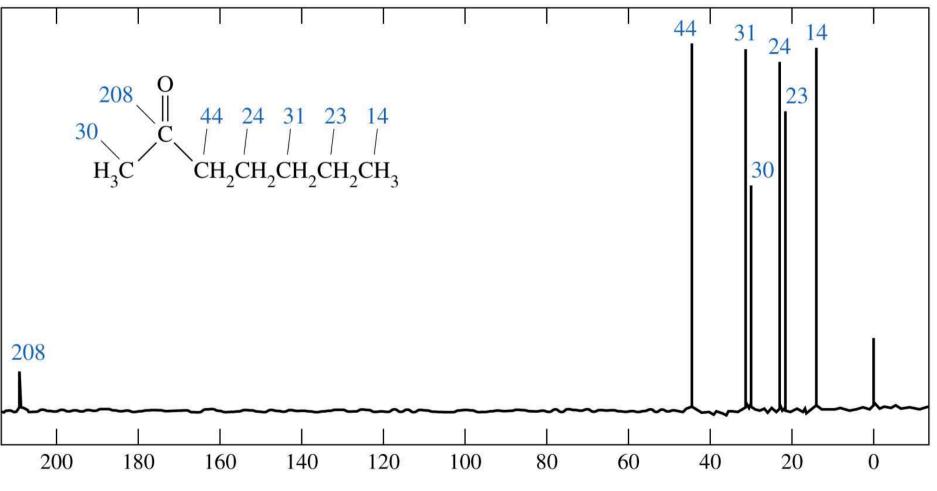


¹H NMR Spectroscopy

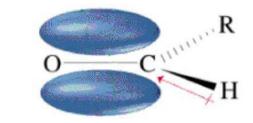


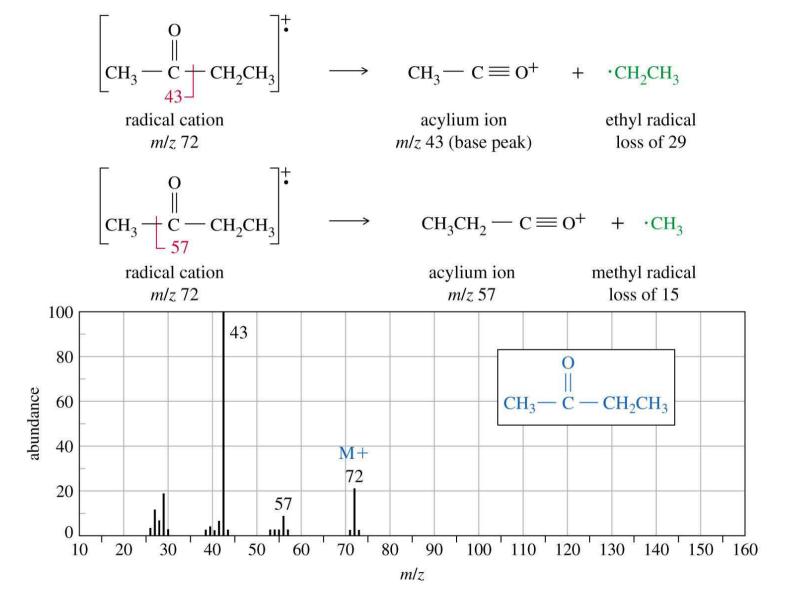
¹³C NMR Spectroscopy



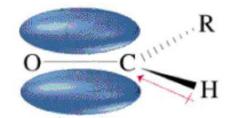


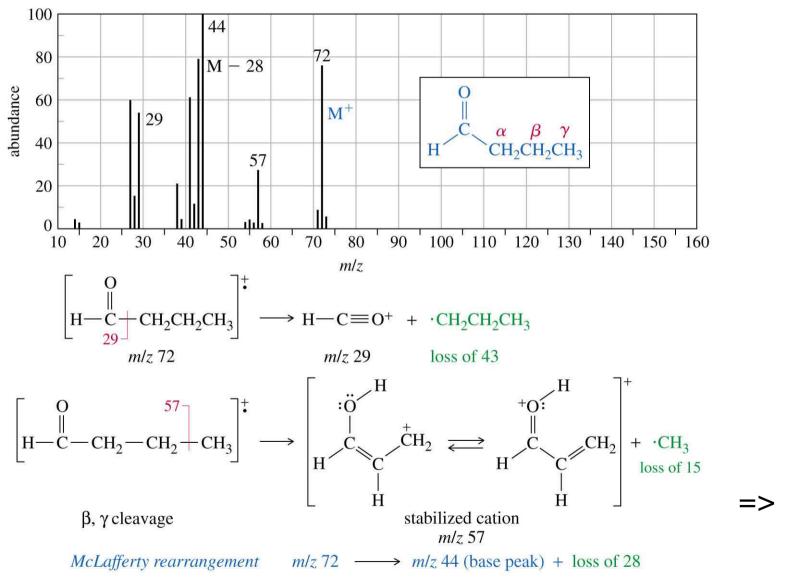
MS for 2-Butanone



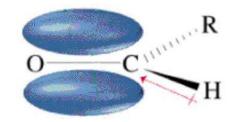


MS for Butyraldehyde

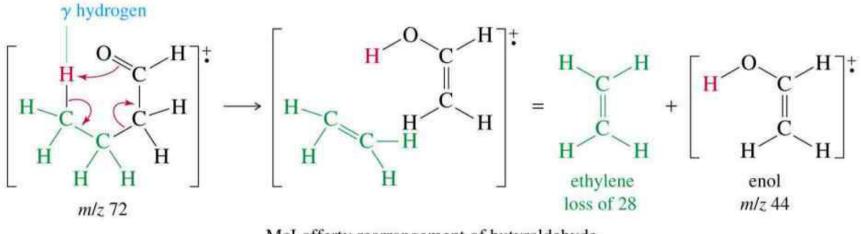




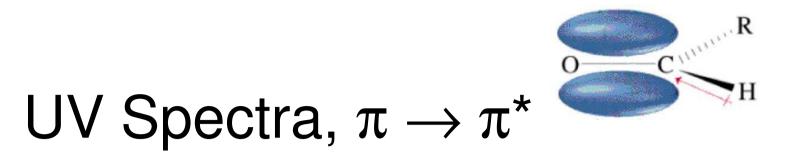
McLafferty Rearrangement



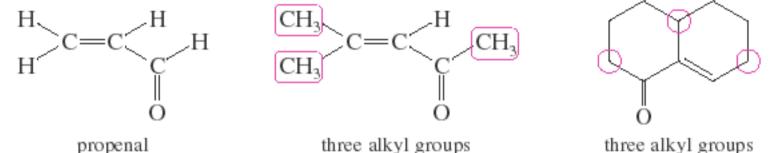
- Loss of alkene (even mass number)
- Must have γ-hydrogen



McLafferty rearrangement of butyraldehyde



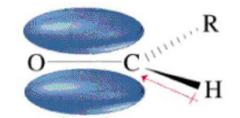
- C=O conjugated with another double bond.
- Large molar absorptivities (> 5000)



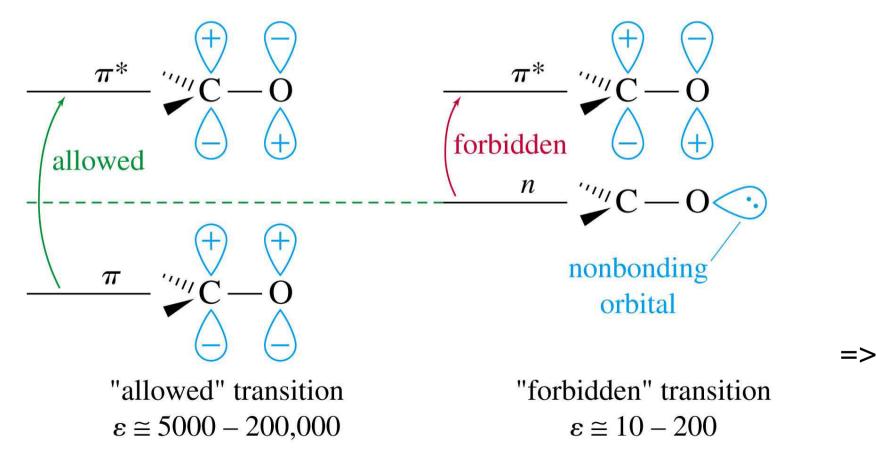
 $\lambda_{\text{max}} = 210 \text{ nm}, \varepsilon = 11,000$ $\lambda_{\text{max}} = 237 \text{ nm}, \varepsilon = 12,000$

three alkyl groups $\lambda_{max} = 244 \text{ nm}, \varepsilon = 12,500$

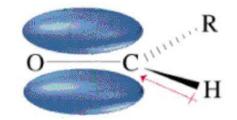
UV Spectra, $n \rightarrow \pi^*$



- Small molar absorptivity.
- "Forbidden" transition occurs less frequently.

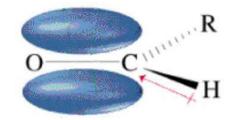


Industrial Importance

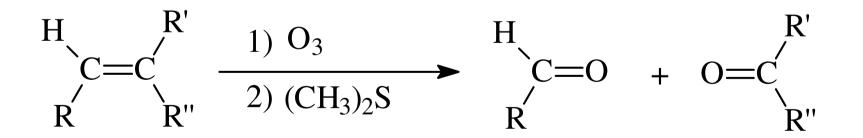


- Acetone and methyl ethyl ketone are important solvents.
- Formaldehyde used in polymers like Bakelite[®].
- Flavorings and additives like vanilla, cinnamon, artificial butter.

Synthesis Review



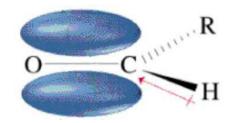
- Oxidation
 - > 2° alcohol + Na₂Cr₂O₇ → ketone > 1° alcohol + PCC → aldehyde
- Ozonolysis of alkenes.



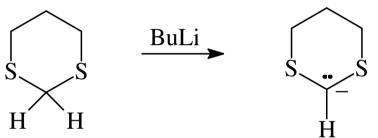
Synthesis Review (2)

- Friedel-Crafts acylation
 ➢ Acid chloride/AICI₃ + benzene → ketone
 ➢ CO + HCI + AICI₃/CuCI + benzene → benzaldehyde (Gatterman-Koch)
- Hydration of terminal alkyne
 ➤Use HgSO₄, H₂SO₄, H₂O for methyl ketone
 ➤Use Sia₂BH followed by H₂O₂ in NaOH for aldehyde.

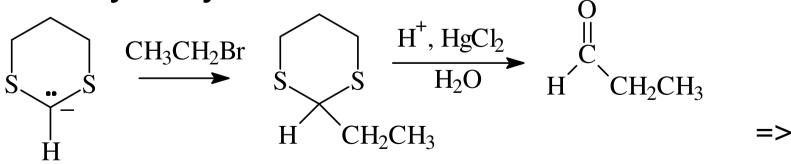
Synthesis Using 1,3-Dithiane



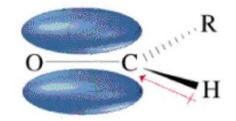
• Remove H⁺ with *n*-butyllithium.



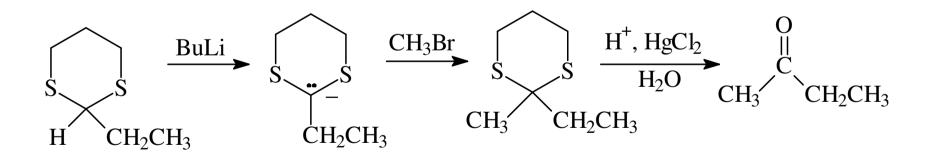
• Alkylate with primary alkyl halide, then hydrolyze.



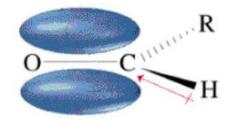
Ketones from 1,3-Dithiane



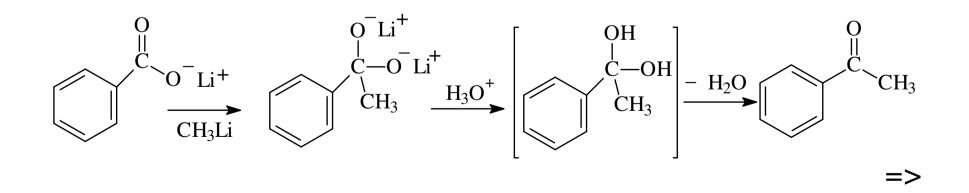
 After the first alkylation, remove the second H⁺, react with another primary alkyl halide, then hydrolyze.



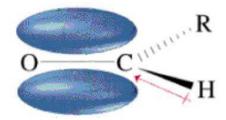
Ketones from Carboxylates



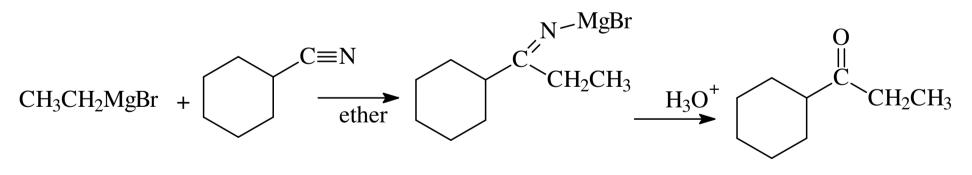
- Organolithium compounds attack the carbonyl and form a diion.
- Neutralization with aqueous acid produces an unstable hydrate that loses water to form a ketone.



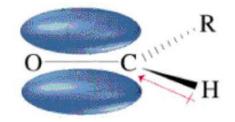
Ketones from Nitriles



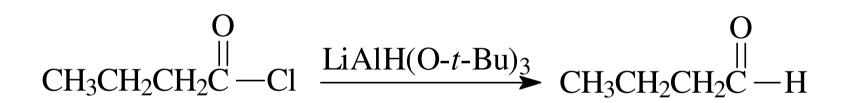
- A Grignard or organolithium reagent attacks the nitrile carbon.
- The imine salt is then hydrolyzed to form a ketone.



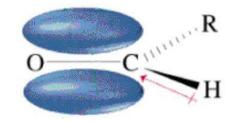
Aldehydes from Acid Chlorides



Use a mild reducing agent to prevent reduction to primary alcohol.



Ketones from Acid Chlorides



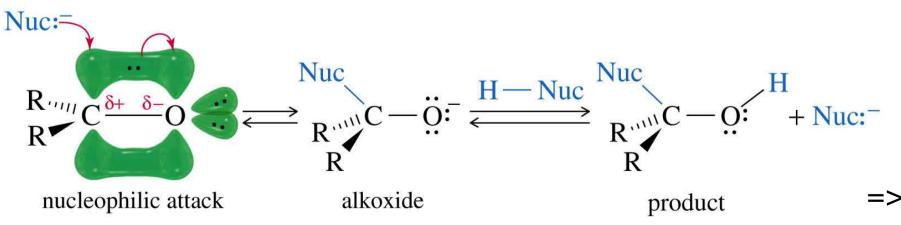
Use lithium dialkylcuprate (R₂CuLi), formed by the reaction of 2 moles of R-Li with cuprous iodide.

 $2 \text{ CH}_3\text{CH}_2\text{CH}_2\text{Li} \xrightarrow{\text{CuI}} (\text{CH}_3\text{CH}_2\text{CH}_2)_2\text{CuLi}$

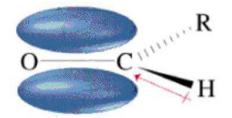
 $(CH_{3}CH_{2}CH_{2})_{2}CuLi + CH_{3}CH_{2}C - Cl \longrightarrow CH_{3}CH_{2}C - CH_{2}CH_{2}CH_{2}CH_{3}$

Nucleophilic Addition

- C UNIT R H
- A strong nucleophile attacks the carbonyl carbon, forming an alkoxide ion that is then protonated.
- A weak nucleophile will attack a carbonyl if it has been protonated, thus increasing its reactivity.
- Aldehydes are more reactive than ketones.

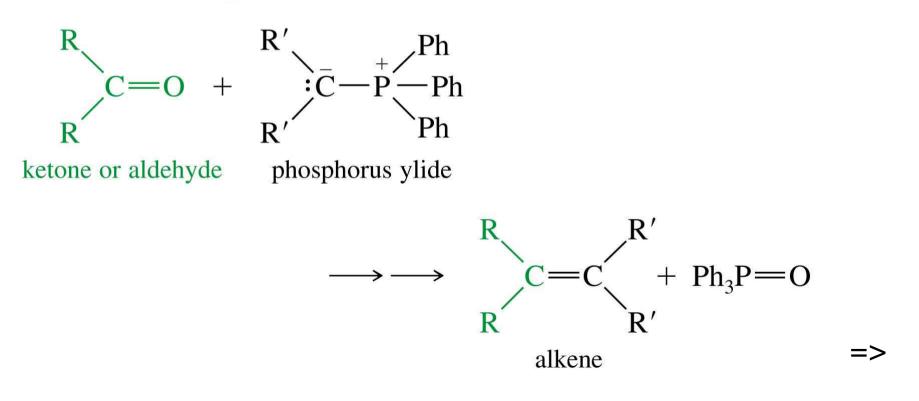


Wittig Reaction

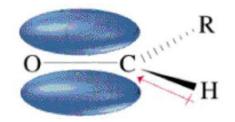


- Nucleophilic addition of phosphorus ylides.
- Product is alkene. C=O becomes C=C.

The Wittig reaction



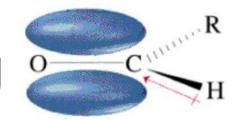
Phosphorus Ylides



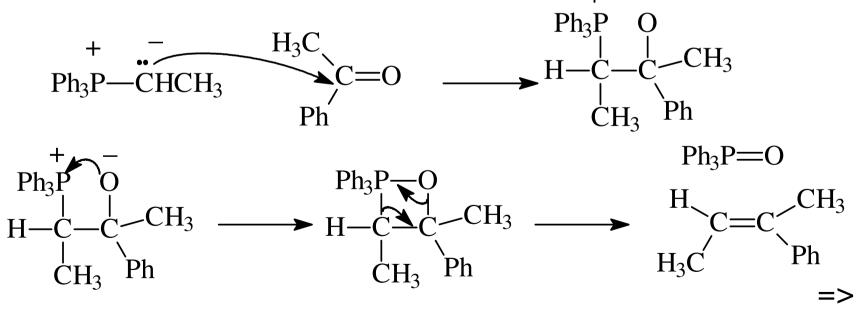
- Prepared from triphenylphosphine and an unhindered alkyl halide.
- Butyllithium then abstracts a hydrogen from the carbon attached to phosphorus.

Ph₃P: + CH₃CH₂Br
$$\longrightarrow$$
 Ph₃P-CH₂CH₃ Br
+ $\stackrel{+}{Ph_3P}$ -CH₂CH₃ \xrightarrow{BuLi} Ph₃P-CHCH₃
ylide =>

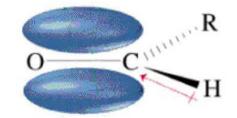
Mechanism for Wittig



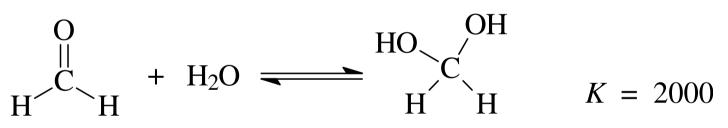
- The negative C on ylide attacks the positive C of carbonyl to form a betaine.
- Oxygen combines with phosphine to form the phosphine oxide.

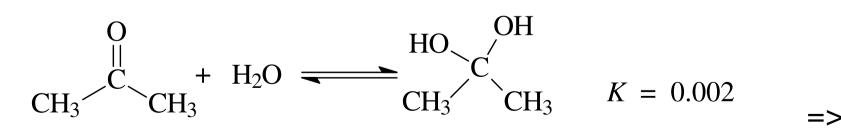


Addition of Water

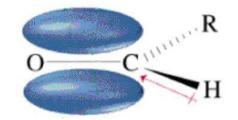


- In acid, water is the nucleophile.
- In base, hydroxide is the nucleophile.
- Aldehydes are more electrophilic since they have fewer e⁻-donating alkyl groups.

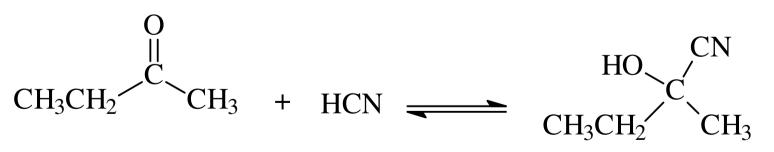




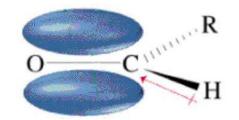
Addition of HCN



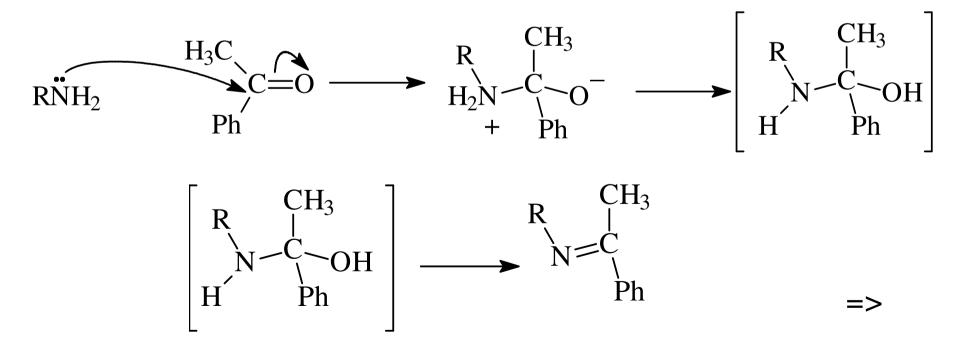
- HCN is highly toxic.
- Use NaCN or KCN in base to add cyanide, then protonate to add H.
- Reactivity formaldehyde > aldehydes > ketones >> bulky ketones.



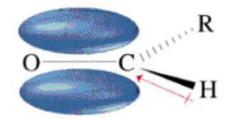
Formation of Imines



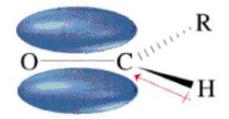
- Nucleophilic addition of ammonia or primary amine, followed by elimination of water molecule.
- C=O becomes C=N-R



pH Dependence



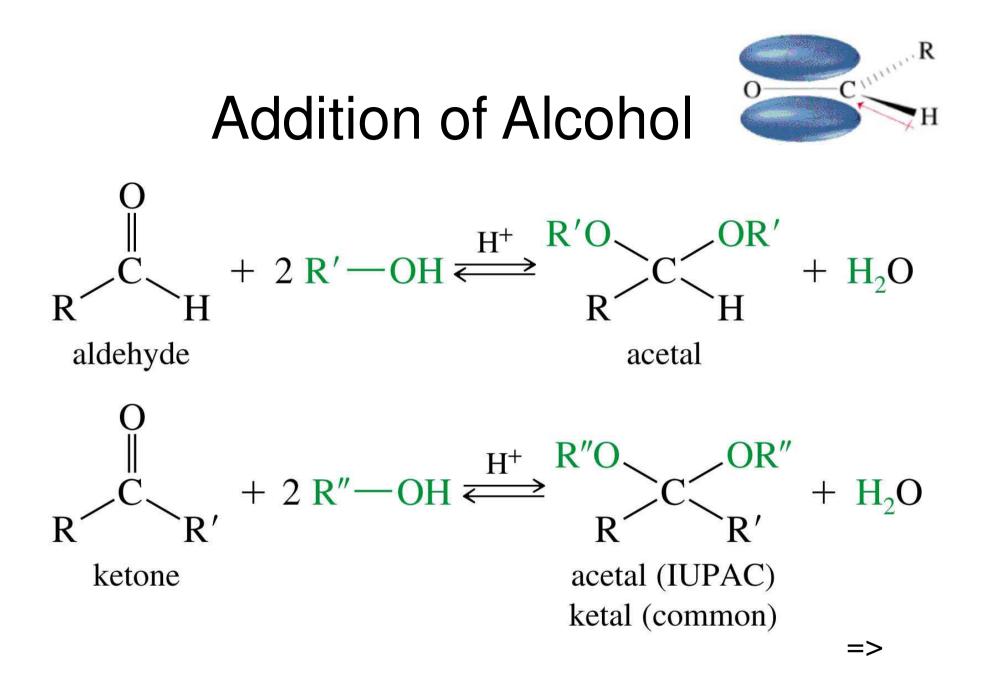
- Loss of water is acid catalyzed, but acid destroys nucleophiles.
- $NH_3 + H^+ \rightarrow NH_4^+$ (not nucleophilic)
- Optimum pH is around 4.5

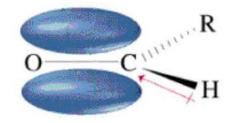


Other Condensations

 $C = O + H_2 \ddot{N} - Z \xrightarrow{H^+} C = \ddot{N} - Z + H_2 O$

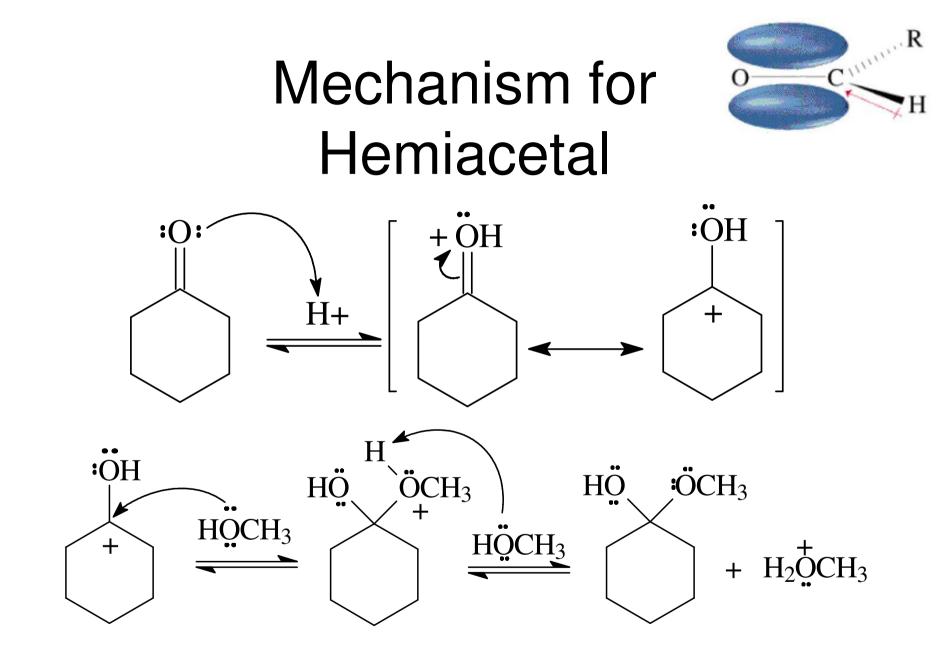
$Z in Z - NH_2$	Reagent	Product
—н	H ₂ N–-H ammonia	$>C = \ddot{N} - H$ an imine
—R	$H_2 \ddot{N} - R$ primary amine	$>C = \ddot{N} - R$ an imine (Schiff base)
—ОН	$H_2\ddot{N}$ – OH hydroxylamine	$>C = \ddot{N} - OH$ an oxime
$-NH_2$	$H_2 \ddot{N} - NH_2$ hydrazine	$>C = \ddot{N} - NH_2$ a hydrazone
—NHPh	$H_2\ddot{N}$ – NHPh phenylhydrazine	$>C = \ddot{N} - NHPh$ a phenylhydrazone
$-NHCNH_2$	$H_2\ddot{N} - NH - C - NH_2$	$>C = \ddot{N} - NH - C - NH_2$
	semicarbazide	a semicarbazone

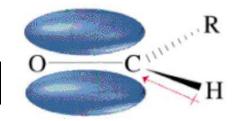




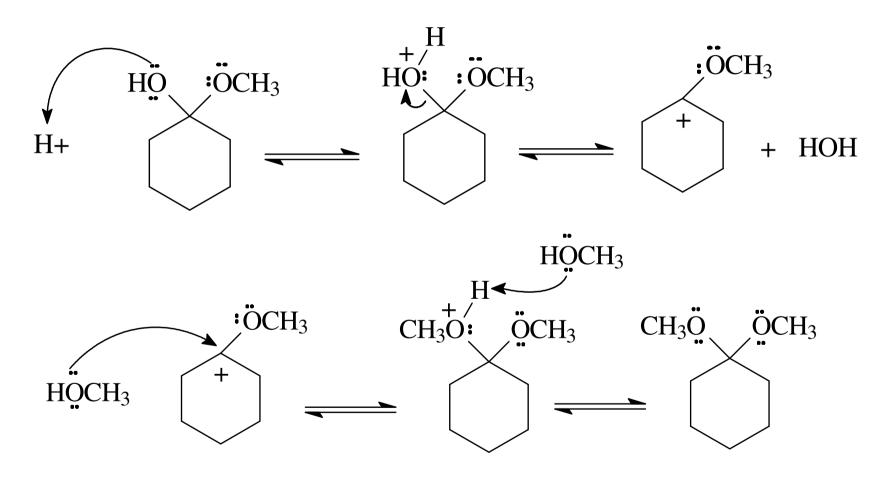
Mechanism

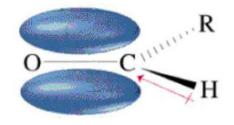
- Must be acid-catalyzed.
- Adding H⁺ to carbonyl makes it more reactive with weak nucleophile, ROH.
- Hemiacetal forms first, then acidcatalyzed loss of water, then addition of second molecule of ROH forms acetal.
- All steps are reversible.





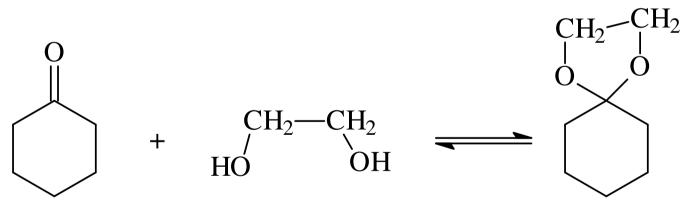
Hemiacetal to Acetal



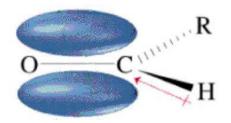


Cyclic Acetals

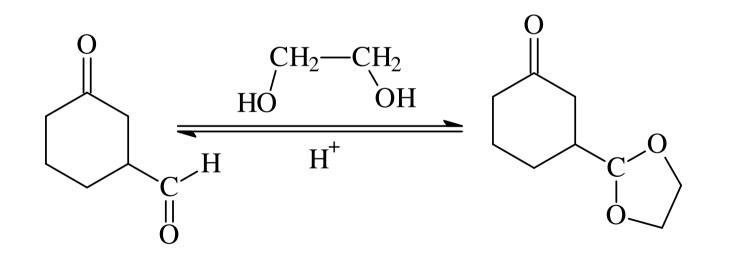
- Addition of a diol produces a cyclic acetal.
- Sugars commonly exist as acetals or hemiacetals.



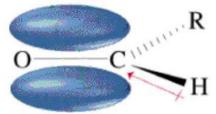
Acetals as Protecting Groups



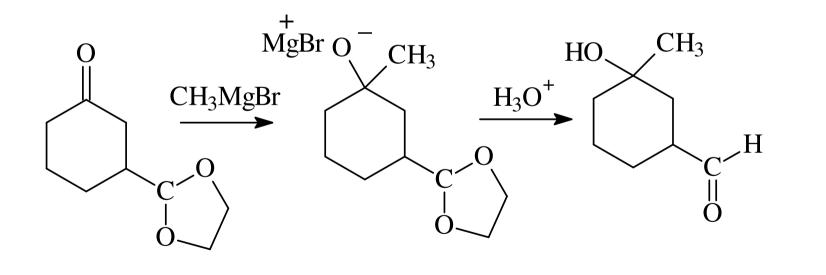
- Hydrolyze easily in acid, stable in base.
- Aldehydes more reactive than ketones.



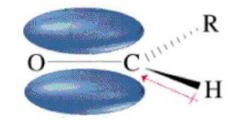
Selective Reaction of Ketone



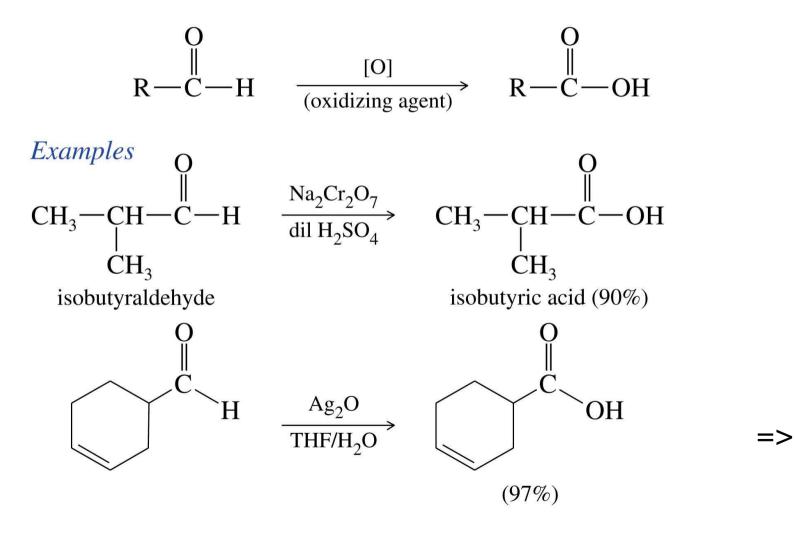
- React with strong nucleophile (base)
- Remove protective group.



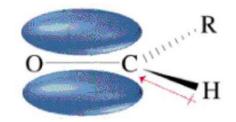
Oxidation of Aldehydes



Easily oxidized to carboxylic acids.



Tollens Test

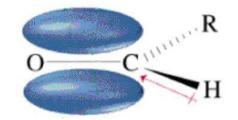


- Add ammonia solution to AgNO₃ solution until precipitate dissolves.
- Aldehyde reaction forms a silver mirror.

$$R - C - H + 2 Ag(NH_3)_2^+ + 3 OH^- - H_2O$$

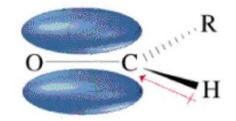
$$2 Ag + R - C - O^- + 4 NH_3 + 2 H_2O$$

Reduction Reagents

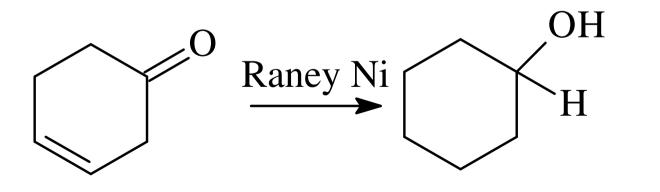


- Sodium borohydride, NaBH₄, reduces C=O, but not C=C.
- Lithium aluminum hydride, LiAlH₄, much stronger, difficult to handle.
- Hydrogen gas with catalyst also reduces the C=C bond.

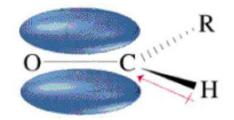
Catalytic Hydrogenation



- Widely used in industry.
- Raney nickel, finely divided Ni powder saturated with hydrogen gas.
- Pt and Rh also used as catalysts.

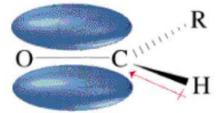


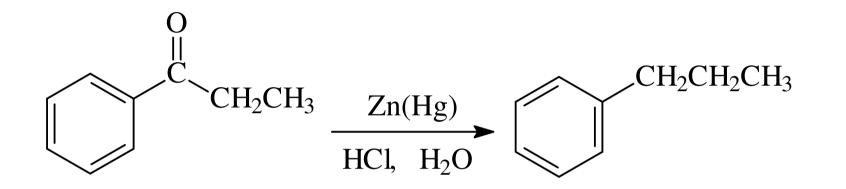
Deoxygenation

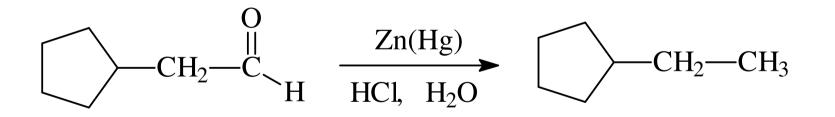


- Reduction of C=O to CH₂
- Two methods:
 - Clemmensen reduction if molecule is stable in hot acid.
 - Wolff-Kishner reduction if molecule is stable in very strong base.

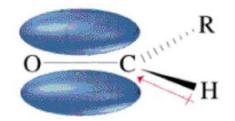




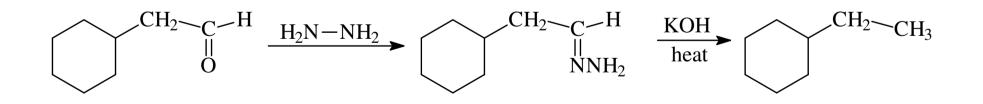


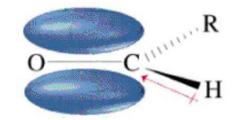


Wolff-Kisher Reduction



- Form hydrazone, then heat with strong base like KOH or potassium *t*-butoxide.
- Use a high-boiling solvent: ethylene glycol, diethylene glycol, or DMSO.





THANK YOU